

Applicant or Patentee: René L.J. Flükiger et al.

Attorney Docket: 31506-149973

Application or Patent No.: PCT/CH97/00475

Filed or Issued: December 19, 1997

For: PROCESS FOR MANUFACTURING AN ELECTRIC CONDUCTOR WITH SUPERCONDUCTING CORES, AND THUS MANUFACTURED CONDUCTOR

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) and 1.27(d)) - NONPROFIT ORGANIZATION

I hereby declare that I am an official empowered to act on behalf of the nonprofit organization identified below:

NAME OF ORGANIZATION UNIVERSITÉ DE GENÈVE

ADDRESS OF ORGANIZATION représentée par son DÉPARTEMENT DE PHYSIQUE DE LA MATIÈRE CONDENSÉE
24, quai Ernest-Ansermet, 1211 Genève 4, Switzerland

TYPE OF ORGANIZATION

☒ UNIVERSITY OR OTHER INSTITUTION OF HIGHER EDUCATION

☐ TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 USC 501(a) AND 501(c)(3))

☐ NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED STATES OF AMERICA
(NAME OF STATE _____)

(CITATION OF STATUTE _____)

☐ WOULD QUALIFY AS TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 USC 501(a) AND 501(c)(3)) IF
LOCATED IN THE UNITED STATES OF AMERICA

☐ WOULD QUALIFY AS NONPROFIT, SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED
STATES OF AMERICA IF LOCATED IN THE UNITED STATES OF AMERICA

(NAME OF STATE _____)

(CITATION OF STATUTE _____)

I hereby declare that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 CFR 1.9(e) for
purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code with regard to the invention entitled
PROCESS FOR MANUFACTURING AN ELECTRIC CONDUCTOR WITH SUPERCONDUCTING CORES, AND THUS
MANUFACTURED CONDUCTOR

by inventor(s) René L.J. Flükiger, Ybing (also known as Yibing) Huang, and Giovanni Grasso

described in

☐ the specification filed herewith

☒ application serial no. PCT/CH97/00475, filed December 19, 1997

☐ patent no. _____, issued _____

I hereby declare that rights under contract or law have been conveyed to and remain with the nonprofit organization with regard to the
above-identified invention.

If the rights held by the nonprofit organization are not exclusive, each individual, concern or organization having rights to the invention is
listed below* and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business
concern under 37 CFR 1.9(c) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit
organization under 37 CFR 1.9(e). *NOTE: Separate verified statements are required from each named person, concern or organization
having rights to the invention averring to the status as small entities. (37 CFR 1.27)

None

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small
entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status
as a small entity is no longer appropriate. (37 CFR 1.28(b))

Applicant or Patentee: René L.J. Flükiger et al. Attorney D: 31506-149973
Application or Patent No.: PCT/CH97/00475
Filed or Issued: December 19, 1997
For: PROCESS FOR MANUFACTURING AN ELECTRIC CONDUCTOR WITH SUPERCONDUCTING CORES, AND THUS MANUFACTURED CONDUCTOR

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) and 1.27(b)) - INDEPENDENT INVENTOR

As the below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 C.F.R. § 1.9(c) for purposes of paying reduced fees under sections 41(a) and (b) of Title 35, United States Code, to the U.S. Patent and Trademark Office with regard to the invention entitled PROCESS FOR MANUFACTURING AN ELECTRIC CONDUCTOR WITH SUPERCONDUCTING CORES, AND THUS MANUFACTURED CONDUCTOR described in
☐ the specification filed herewith
☒ Application No. PCT/CH97/00475, filed December 19, 1997
☐ Patent No. _____, issued _____

I have not assigned, granted, conveyed, or licensed and am under no obligation under contract or law to assign, grant, convey, or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 C.F.R. § 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 C.F.R. § 1.9(d) or a nonprofit organization under 37 C.F.R. § 1.9(e).


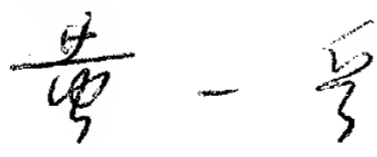

Each person, concern, or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:
☐ no such person, concern or organization
☒ persons, concerns or organizations listed below*

*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. 37 C.F.R. § 1.27.

NAME UNIVERSITÉ DE GENÈVE
ADDRESS: représentée par son DÉPARTEMENT DE PHYSIQUE DE LA MATIÈRE CONDENSÉE
24, quai Ernest-Ansermet, 1211 Genève 4, Switzerland
☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☒ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any changes in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. 37 C.F.R. § 1.28(b).

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Prof. René L.J. Flükiger NAME OF INVENTOR  SIGNATURE OF INVENTOR <u>Genève, 24.11.99</u> DATE	Dr. Ybing (also known as Yibing) Huang NAME OF INVENTOR  SIGNATURE OF INVENTOR <u>Wroclaw, 06/12/99</u> DATE	Dr. Giovanni Grasso NAME OF INVENTOR  SIGNATURE OF INVENTOR <u>Genève, 4.12.1999</u> DATE
---	---	---

DECLARATION FOR UNITED STATES PATENT APPLICATION,
POWER OF ATTORNEY, DESIGNATION OF CORRESPONDENCE ADDRESS

Attorney Docket
31506-149973

As a below named inventor, I hereby declare that my residence, post office address and citizenship are as stated below next to my name, and that I believe I am an original, joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled PROCESS FOR MANUFACTURING AN ELECTRIC CONDUCTOR WITH SUPERCONDUCTING CORES, AND THUS MANUFACTURED CONDUCTOR, the specification of which was filed under the Patent Cooperation Treaty on December 19, 1997, Serial No. PCT/CH97/00475, the United States of America being designated.

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose to the Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent, utility model, design or inventor's certificate listed below and have also identified below any foreign application(s) for patent, utility model, design or inventor's certificate having a filing date before that of the application(s) on which priority is claimed:

Prior Foreign Application(s)			Priority Claimed	
Number	Country	Date Filed	Yes	No
3141/96	Switzerland	December 20, 1996	X	

(9) I hereby appoint the following attorneys to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith: George H. Spencer (Reg. No. 18,038), Norman N. Kunitz (Reg. No. 20,586), Robert J. Frank (Reg. No. 19,112), Gabor J. Kelemen (Reg. No. 21,016), Robert Kinberg (Reg. No. 26,924), John W. Schneller (Reg. No. 26,031), Ashley J. Wells (Reg. No. 29,847), Allen Wood (Reg. No. 28,134), James R. Burdett (Reg. No. 31,594) Suite 1000, 1201 New York Avenue, N.W., Washington, D.C. 20005-3917, Telephone: (202) 962-4800, Telefax: (202) 962-8300.

Address all correspondence to VENABLE, P.O. Box 34385, Washington, D.C. 20043-9998.

The undersigned hereby authorizes the U.S. attorneys named herein to accept and follow instructions from the undersigned's assignee, if any, and/or, if the undersigned is not a resident of the United States, the undersigned's domestic attorney, patent attorney or patent agent, as to any action to be taken in the Patent and Trademark Office regarding this application without direct communication between the U.S. attorneys and the undersigned. In the event of a change in the person(s) from whom instructions may be taken, the U.S. attorneys named herein will be so notified by the undersigned.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under §1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Signature: René Flükiger Date: Geneva, 24.11.99
First Joint Inventor: Prof. René L.J. Flükiger
Citizenship: Switzerland
Residence and Post Office Address: 42, chemin de la Plamatte,
1228 Plan-Les-Ouates
Switzerland CHX

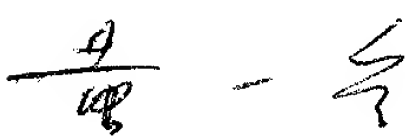
VENABLE
Washington, D.C.

Continued on page -2-

DECLARATION FOR UNITED STATES PATENT APPLICATION,
POWER OF ATTORNEY, DESIGNATION OF CORRESPONDENCE ADDRESS

Attorney Docket
31506-149973

-2-


2nd
Signature: 

Date: Wrexham, 06/12/99

Second Joint Inventor: Dr. Ybing (also known as Yibing) Huang

Citizenship: China

Residence and Post Office Address: 22 The Triangle
Wrexham LL13 9NJ
Great Britain GBX

Signature: 

Date: Genova, 1.12.1999

Third Joint Inventor: Dr. Giovanni Grasso

Citizenship: Italy

Residence and Post Office Address: Via Barrili 2A/7A
IT-16143 Genova
Italy

#153376

Applicant or Patentee: René L.J. Flükiger et al.
Application or Patent No.: PCT/CH97/00475

Attorney Docket: 31506-149973

- 2 -

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING	<u>Prof. René L.J. Flükiger</u>
TITLE IN ORGANIZATION	<u>Executive Director</u>
ADDRESS OF PERSON SIGNING	<u>Département de Physique de la Matière Condensée</u>
	<u>24, quai Ernest-Ansermet</u>
	<u>1211 Genève 4, Switzerland</u>

SIGNATURE  DATE Geneva, 24.11.97

#153462